

Docket No.: M&N-IT-426

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : GUNTHER STEINLE ET AL.
Filed : CONCURRENTLY HEREWITH
Title : LASER DIODE WITH VERTICAL RESONATOR AND METHOD
FOR FABRICATING THE DIODE

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 5,262,360 (Holonyak, Jr, et al.), dated November 16, 1993;

U.S. Patent No. 5,557,626 (Grodzinski et al.), dated September 17, 1996;

European Patent Application EP 0 939 471 A1 (Uenohara et al.), dated September 1, 1999;

Patent Abstracts of Japan 06029611 A (Mitsunori), dated February 4, 1994;

Patent Abstracts of Japan 10200210 A (Hideo), dated July 31, 1998;

International Search Report, dated February 13, 2003.

Respectfully submitted,


For Applicants

LAURENCE A. GREENBERG
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Date: September 3, 2003

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| FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b)) | | | | Attorney Docket No.: M&N-IT-426 Appl. No.: Applicant: GUNTHER STEINLE ET AL. Filing Date: September 3, 2003 Group Art Unit: <u>2929</u> | | | |
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| EXAMINER INITIALS | A | PATENT NO. | DATE | PATENTEE | CLASS | SUB CLASS | FILING DATE |
| DW | A | 5,262,360 | 11/16/93 | Holonyak, Jr. et al. | | | |
| DW | B | 5,557,626 | 9/17/96 | Grodzinski et al. | | | |
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| FOREIGN PATENT DOCUMENT | | | | | | | |
| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB CLASS | TRANSL. YES NO |
| DW | J | 0 939 471 A1 | 9/1/99 | Europe | | | |
| ↓ | K | 06029611 A ✓ | 2/4/94 | Japan | | | |
| ↓ | L | 10200210 A ✓ | 7/31/98 | Japan | | | |
| | M | | | | | | |
| | N | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
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| EXAMINER <u>mw</u> | | | | DATE CONSIDERED <u>6/22/05</u> | | | |
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